

PART B - FEE(S) TRANSMITTAL

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20311 7590 October 2, 2009

LUCAS & MERCANTI, LLP
 475 PARK AVENUE SOUTH
 15TH FLOOR
 NEW YORK, NY 10016

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Donald C. Lucas	(Depositor's name)
<i>Donald C. Lucas</i>	(Signature)
October 2, 2009	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/596,518	08/16/2006	Erwin Hartmann	INA-44	7517

TITLE OF INVENTION: SEAL WITH NON-CONTACT ADJACENT DEFLECTOR RINGS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/04/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, VISHAL A	3676	277-347000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1 Lucas & Mercanti, LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SCHAEFFLER KG

HERZOGENAURACH, GERMANY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Donald C. Lucas

Date October 2, 2009

Typed or printed name

Donald C. Lucas

Registration No. 31,275

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